



TO: All Interested Persons  
FROM: Office of the Treasurer of the Ohio State University  
SUBJECT: Engagement of Independent Registered Municipal Advisor for Swap and Derivative Contracts  
DATE: January 10, 2023

---

Please be advised that The Ohio State University (the “University”) has retained an independent registered municipal advisor. The University is represented by and will rely upon its municipal advisor, PFM Swap Advisors LLC, to provide advice on proposals from financial services firms concerning interest rate swaps and derivative contracts. This certificate may be relied upon through September 30, 2024.

Swap and derivative related proposals may be addressed to Kurt Kauffman, Assistant Treasurer for Debt and Capital Finance, The Ohio State University at the address below or via e-mail to [kauffman.20@osu.edu](mailto:kauffman.20@osu.edu). If the proposal received will be seriously considered, the University will share the document with its municipal advisor. Please note, that aside from regulatorily mandated correspondence between an underwriter and municipal advisor, the underwriter should not speak directly with or send documents directly to the municipal advisor unless specifically directed to by the University.

By publicly posting the above written disclosure, the University intends that market participants receive and use it for purposes of the independent registered municipal advisor exemption to the SEC Municipal Advisor Rule. The University makes no representation as to the sufficiency of the foregoing and specifically disclaims any responsibility or liability with respect to compliance with the SEC Municipal Advisor Rule by any third party other than with respect to the accuracy of the representation made herein.